



Sector #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Sajeew John et al.
Appl. No. : 09/909,998
Filed : 07/23/2001
Title : ELECTRO-ACTIVELY TUNABLE PHOTONIC BANDGAP

Grp./A.U. : 2871
Examiner :

Docket No.: 13727

Honorable Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION-FILING DATE GRANTED

Submitted herewith is a copy of FORM PTO-1533 dated September 4, 2001, together with a Declaration and Power of Attorney and Claim for Small Entity Status signed by the inventors.

A check in the amount of \$876.00 is also enclosed, which includes the government filing fee of \$370.00, \$65.00 for the late filing of the signed declaration and \$441.00 for claims in excess of 20. Any deficiencies in the fees may be charged to Deposit Account No. 04-1577.

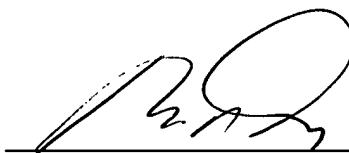
It is now believed that the application should be ready for normal processing. In the event any additional information is required by the Application Branch it would be appreciated if

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they would contact the undersigned attorney of record at the telephone number shown below.

Respectfully submitted,

DOWELL & DOWELL, P. C.

A handwritten signature in dark ink, appearing to read 'Ralph A. Dowell', is written over a horizontal line.

Ralph A. Dowell, Reg. No.: 26,868

Date: October 24, 2001

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#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,998	07/23/2001	Sajeev John	13727

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CONFIRMATION NO. 1419

FORMALITIES LETTER



OC00000006511574

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$441.
 - \$441 for 49 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 861.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/25/2001 HBERHE 00000033 09909998

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	441.00 OP